

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**(Under 37 CFR 1.97(b) or 1.97(e))**

Docket No.

11948.25

In Re Application Of: **Rajeev Joshi et al.**

Serial No.

10/731,453

Filing Date

December 9, 2003

Examiner

Unassigned

Group Art Unit

Unassigned

Title: **WAFER-LEVEL CHIP SCALE PACKAGE AND METHOD FOR FABRICATING AND USING THE SAME**

Address to:

Commissioner for Patents

P.O. Box 1450


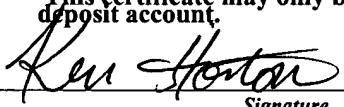
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 11948.25
In Re Application: Rajeev Joshi et al.			
Serial No. 10/731,453	Filing Date December 9, 2003	Examiner Unassigned	Group Art Unit Unassigned
WAFER-LEVEL CHIP SCALE PACKAGE AND METHOD FOR FABRICATING AND USING THE			
Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))			
<input type="checkbox"/> A check in the amount of _____ is attached.			
<input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. 500843 as described below.			
<input type="checkbox"/> Charge the amount of _____			
<input checked="" type="checkbox"/> Credit any overpayment.			
<input checked="" type="checkbox"/> Charge any additional fee required.			
Certificate of Transmission by Facsimile*		Certificate of Mailing by First Class Mail	
I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:		I certify that this document and fee is being deposited on May <u>6</u> , 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
_____ (Date)		 Signature of Person Mailing Correspondence	
_____ Signature		JoLin Johnson	
_____ Typed or Printed Name of Person Signing Certificate		_____ Typed or Printed Name of Person Mailing Certificate	
*This certificate may only be used if paying by deposit account.			
 Signature		Dated: May <u>6</u> , 2004	
Kenneth E. Horton Registration No. 39,481 KIRTON & McCONKIE 1800 Eagle Gate Tower 60 East South Temple Salt Lake City, UT 84111 Tel: (801) 328-3600 Fax: (801) 321-4893 CC:			



PATENT APPLICATION
Docket No: 11948.25

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of	Rajeev Joshi et al.)
)
Serial No.:	10/731,453)
)
Filed:	December 9, 2003)
)
For:	WAFER-LEVEL CHIP SCALE PACKAGE)
	AND METHOD FOR FABRICATING)
	AND USING THE SAME)

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Mail Stop: DD
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be “prior art” within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record

believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

Pursuant to 37 C.F.R. § 1.491(b), since this application was filed after June 30, 2003, copies of all U.S. patents and U.S. patent application publications listed on the Form PTO-1449 are not being submitted. However, copies of all foreign applications are being submitted.

Please credit any over payment or charge any additional fees to Deposit Account No. 500843 of the undersigned.

DATED this 6 day of May, 2004.

Respectfully submitted,



Kenneth E. Horton
Attorney for Applicant
Registration No. 39,481

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KEH:jjj

INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				ATTY DOCKET NO. 11948.25		SERIAL NO. 10/731,453	
				Rajeev Joshi et al.			
				FILING December 9, 2003		GROUP Unassigned	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	5,092,033	03/03/92	Nishiguchi et al.	29	840	01/23/91
	2	5,501,004	03/26/96	Onitsuka	29	827	09/01/94
	3	5,877,549	03/02/99	Kim	257	693	01/02/96
	4	5,949,142	09/07/99	Otsuka	257	737	03/26/98
	5	5,986,334	11/16/99	Lee	257	667	10/02/97
	6	6,013,948	01/11/00	Akram et al.	257	698	04/01/98
	7	6,039,896	03/21/00	Miyamoto et al.	252	511	03/16/99
	8	6,150,717	11/21/00	Wood et al.	257	738	06/16/98
	9	6,190,509	02/20/01	Haba	204	164	03/04/98
	10	6,239,482	05/29/01	Fillion et al.	257	678	06/21/99
	11	6,252,301	06/26/01	Gilleo et al.	257	690	11/29/99
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
		None					
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
			None				
EXAMINER				DATE CONSIDERED			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	12	6,300,675	10/09/01	Tamai	257	668	02/01/00
	13	6,336,990	01/08/02	Tanaka et al.	156	306.9	07/01/98
	14	6,368,896	04/09/02	Farnworth et al.	438	113	04/23/99
	15	6,392,305	05/21/02	Huang et al.	257	782	06/12/00
	16	6,396,557	05/28/02	Tajima	349	150	08/28/00
	17	6,407,508	06/18/02	Kawada et al.	315	169.3	04/14/00
	18	6,441,498	08/27/02	Song	257	778	06/11/98
	19	6,468,830	10/22/02	Carson	438	106	01/25/99
	20	6,518,091	02/11/03	Haba	438	107	09/05/00
	21	6,518,097	02/11/03	Yim et al.	438	119	08/29/00
	22	6,528,344	03/04/03	Kang	438	106	06/22/01
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
		None					
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	23	6,555,917	04/29/03	Heo	257	777	10/09/01
	24	6,566,748	05/20/03	Shimizu et al.	257	704	07/13/00
	25	6,611,052	08/26/03	Poo et al.	257	686	11/16/01
	26	6,621,286	09/16/03	Takada et al.	324	765	01/05/01
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
		None					
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
			None				
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